# 2019

## Research Fronts: Active Fields, Leading Countries

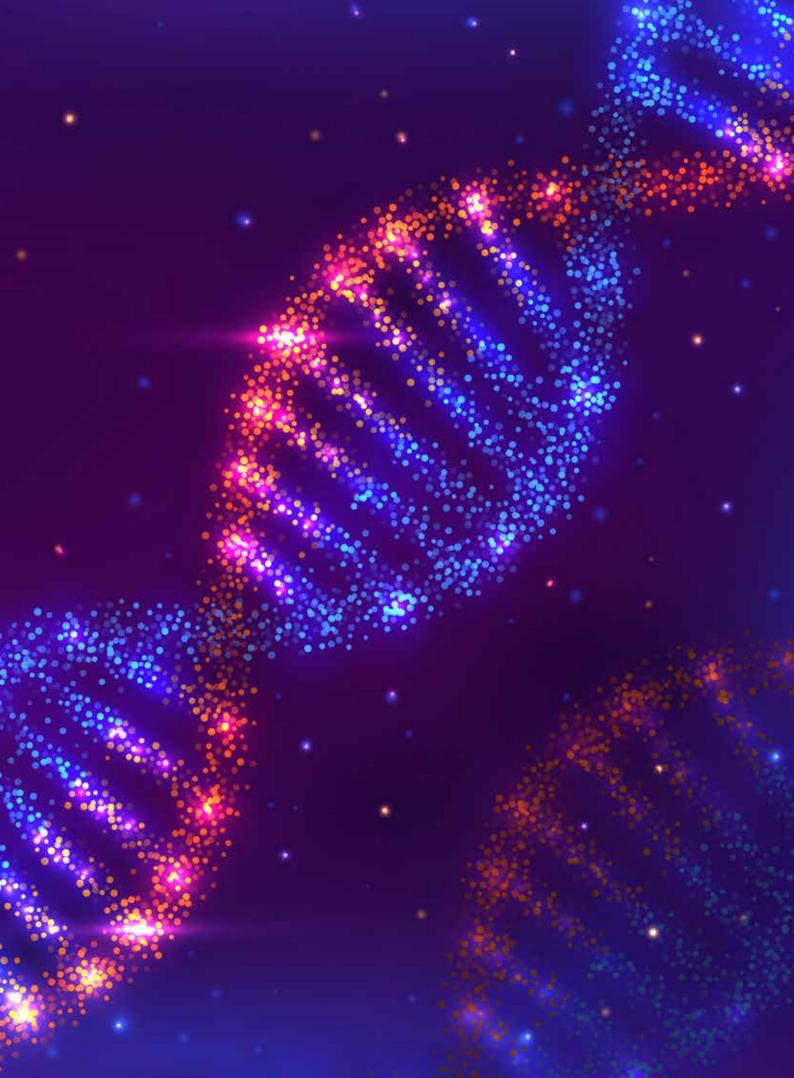
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**Clarivate Analytics** 









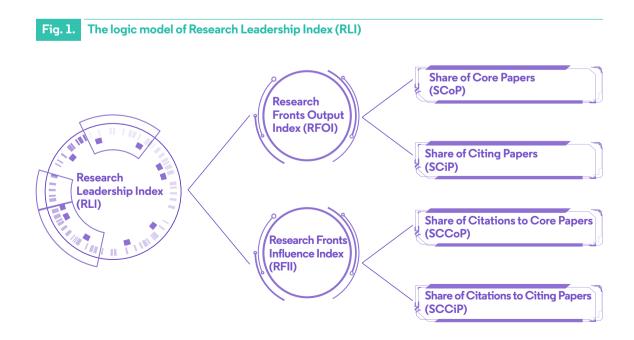
Science and technology are universal and epochal, and the development of science and technology must be viewed from a global perspective. At present, major breakthroughs and accelerated applications of technological innovation have been instrumental in reshaping the global economic structure and transforming the arena of industrial and economic competition. The "Research Fronts 2019" report is a prequel to another survey, "Research Fronts 2019: Active Fields, Leading Countries," having selected and discussed 100 hot fronts and 37 emerging fronts in 10 broad research areas. Based on the findings of "Research Fronts 2019," the second report uses the Research Leadership Index to assess the research activity of the world's major countries and to observe how that activity, in the face of global competition in innovation and technological advancement, is demonstrated in these Research Fronts.



### 1 Methodology

### 1.1 The logic model of Research Leadership Index (RLI)

The Research Leadership Index (RLI) is a comprehensive evaluation index to measure the degree of activity in Research Fronts. Since a Research Front itself is composed of a group of highly cited core papers along with subsequent papers that cite the core literature, the design of the Research Leadership Index takes into account the numbers of the core papers and citing papers, as well as their respective citations. These calculations underlie two indicators: Output Share and Citation Share. The logical model of Research Leadership Index (RLI) is shown in Figure 1.



The objects measured by the Research Leadership Index can be countries, cities, institutions, laboratories, teams, and individual scientists. Each object can be measured at three levels: Research Front level, area level, and a level within the context of 10 broad research areas.

## 1.2 Research Leadership Index of country (RLI<sub>c</sub>)

This report calculated the Research Leadership Index of main countries at the Research Front level, area level, and the level of all 10 broad research areas. Based on that, we determined the degree of activity in innovation and its pattern within the main countries as reflected in Research Fronts, and revealed the sources of research vitality in various countries. The methods for calculation and analysis are as follows:

## 1.2.1 Research Leadership Index of a country in a Research Front ( $RLI_{Cii}$ )

The Research Leadership Index is a comprehensive evaluation index to measure the degree of activity of a country as reflected in Research Fronts, including two aspects of the output and citation influence of papers in the fronts. The equation for Research Leadership Index of Country in a Research Front (RLI<sub>CFF</sub>) is:

$$RLI_{Cij} = RFOI_{Cij} + RFII_{Cij} = \frac{CoP_{ij}}{CoP_j} + \frac{CiP_{ij}}{CiP_j} + \frac{CoC_{ij}}{CoC_j} + \frac{CiC_{ij}}{CiC_j}$$

 $RFOI_{Cij}$  is Research Fronts Output Index of a country,  $RFII_{Cij}$  is Research Fronts Influence Index of a country, j represents the Research Front, i represents each country.

## (1) Research Fronts Output Index of a country (RFOI<sub>cij</sub>)

The Research Fronts Output Index of a country ( $\text{RFOI}_{Cij}$ ) is the relative share of the number of papers (core papers and citing papers) contributed by a country in a Research Front.  $\text{RFOI}_{Cij}$  equal to the sum of the two indicators  $\text{SCoP}_{Cij}$  and  $\text{SCiP}_{Cij}$ 

$$RFOI_{Cij} = SCoP_{Cij} + SCiP_{Cij} = \frac{CoP_{ij}}{CoP_j} + \frac{CiP_{ij}}{CiP_j}$$

Country's Share of Core Papers in a Research Front  $(SCoP_{Cij})$  indicates the percentage of  $CoP_{ij}$  in  $CoP_{j}$ .

$$SCoP_{Cij} = \frac{CoP_{ij}}{CoP_i}$$

 $CoP_{ij}$  represents the number of core papers published by country i in Research Front j;  $CoP_j$  represented the number of core papers in Research Front j.

Country's Share of Citing Papers in a Research Front  $(SCiP_{Cij})$  indicates the percentage of  $CiP_{ij}$  in  $CiP_{j}$ .

$$SCiP_{Cij} = \frac{CiP_{ij}}{CiP_j}$$

 $CiP_{ij}$  represents the number of citing papers published by country i in Research Front j;  $CiP_j$  represents the number of citing papers in Research Front j.

### (2) Research Fronts Influence Index of a country (RFII<sub>Cii</sub>)

The Research Fronts Influence Index of a country ( $RFII_{Cij}$ ) is the relative share of the citation of papers (core papers and citing papers) a country contributed in a Research Front.  $RFII_{Cij}$  equals the sum of the two indicators  $SCCoP_{Cij}$  and  $SCCiP_{Cij}$ .

$$RFII_{Cij} = SCCoP_{Cij} + SCCiP_{Cij} = \frac{CoC_{ij}}{CoC_j} + \frac{CiC_{ij}}{CiC_j}$$

Country's Share of Core Paper Citation for a Research Front (SCCoP<sub>Cii</sub>) indicates the percentage of  $CoC_{ij}$  in  $CoC_{j}$ .

$$SCCOP_{Cij} = \frac{CoC_{ij}}{CoC_j}$$

*CoC<sub>ij</sub>* represents the citation of core papers published by country i in Research Front j; *CoC<sub>j</sub>* represents the citation of core papers in Research Front j.

Country's Share of Citation to Citing Paper in a Research Front (SCCiP<sub>Cij</sub>) indicates the percentage of  $CiC_{ij}$  in  $CiC_j$ .

$$SCCiP_{Cij} = \frac{CiC_{ij}}{CiC_j}$$

 $CiC_{ij}$  represents the citation of citing papers published by country i in Research Front j;  $CiC_j$  represents the citation

of citing papers in Research Front j.

## **1.2.2 Research Leadership Index of a country in** an area ( $RLI_{Cik}$ )

The Research Leadership Index of country i in area k  $(\text{RLI}_{Cik})$  is the summation of the Research Leadership Index of country i  $(\text{RLI}_{Cij})$  in n Research Fronts in area k. k is the one area, n is the total number of areas.

The formula for  $RLI_{Cik}$  is as follow:

$$\mathrm{RLI}_{ik} = \mathrm{RFOI}_{Cik} + \mathrm{RFII}_{Cik} = = \sum_{j=1}^{n} \frac{CoP_{ij}}{CoP_j} + \sum_{j=1}^{n} \frac{CiP_{ij}}{CiP_j} + \sum_{j=1}^{n} \frac{CoC_{ij}}{CoC_j} + \sum_{j=1}^{n} \frac{CiC_{ij}}{CiC_j}$$

 $\text{RLI}_{\text{Cik}}$  equal to the sum of the two indicators  $\text{RFOI}_{\text{Cik}}$  and  $\text{RFII}_{\text{Cik}}.$ 

## (1) Research Fronts Output Index of a country in an area (RFOI<sub>Cik</sub>)

The Research Fronts Output Index of a country in an area  $(RFOI_{Cik})$  is the relative share of the number of papers (core and citing) contributed by a country to an area composed of n Research Fronts.  $RFOI_{Cik}$  is equal to the sum of the two indicators  $SCoP_{Cik}$  and  $SCiP_{Cik}$ .

$$\text{RFOI}_{Cik} = \text{SCoP}_{Cik} + \text{SCiP}_{Cik} = \sum_{j=1}^{n} \frac{CoP_{ij}}{CoP_j} + \sum_{j=1}^{n} \frac{CiP_{ij}}{CiP_j}$$

The formula for a country's Share of Core Paper in an area (SCoP<sub>Cik</sub>) is as follows:

$$SCoP_{Cik} = \sum_{j=1}^{n} \frac{CoP_{ij}}{CoP_j}$$

The formula for a country's Share of Citing Paper in an area (SCiP<sub>Cik</sub>) is below:

$$\text{SCiP}_{Cik} = \sum_{j=1}^{n} \frac{CiP_{ij}}{CiP_j}$$

## (2) Research Fronts Influence Index of a country in an area ( $\operatorname{RFII}_{\operatorname{Cik}}$ )

The Research Fronts Influence Index of a country in an area ( $RFII_{Cik}$ ) is the relative share of the citation of papers (core and citing) contributed by a country to an area

composed of n Research Fronts.  $RFII_{Cik}$  equal to the sum of the two indicators  $SCCoP_{Cik}$  and  $SCCiP_{Cik}$ .

$$RFII_{Cik} = SCCoP_{Cik} + SCCiP_{Cik} = \sum_{j=1}^{n} \frac{CoC_{ij}}{CoC_j} + \sum_{j=1}^{n} \frac{CiC_{ij}}{CiC_j}$$

The formula for a country's Share of Citations to Core Papers in an area (SCCoP<sub>Cik</sub>) is as follows:

$$SCCoP_{Cik} = \sum_{j=1}^{n} \frac{CoC_{ij}}{CoC_j}$$

The formula for a country's Share of Citations to Citing Papers in an area (CiCS<sub>Cit</sub>) is below:

$$SCCiP_{Cik} = \sum_{j=1}^{n} \frac{CiC_{ij}}{CiC_j}$$

## 1.2.3 Research Leadership Index of a country in10 broad research areas (RLI<sub>Ci</sub>)

The Research Leadership Index of a country in 10 broad research areas ( $RLI_{Ci}$ ) represents the scores of  $RLI_{Cik}$  of the 10 broad areas added together. The index is a comprehensive evaluation index to measure the degree of activity of a country based on its contribution to 10 broad research areas composed of 137 Research Fronts.

$$\begin{aligned} \mathsf{RLI}_{Ci} &= \mathsf{RFOI}_{Ci} + \mathsf{RFII}_{Ci} \\ &= \sum_{k=1}^{10} \sum_{j=1}^{n} \frac{CoP_{ij}}{CoP_j} + \sum_{k=1}^{10} \sum_{j=1}^{n} \frac{CiP_{ij}}{CiP_j} + \sum_{k=1}^{10} \sum_{j=1}^{n} \frac{CoC_{ij}}{CoC_j} + \sum_{k=1}^{10} \sum_{j=1}^{n} \frac{CiC_{ij}}{CiC_j} \end{aligned}$$

 ${\sf RLI}_{\sf Ci}$  is equal to the sum of the two indicators  ${\sf RFOI}_{\sf Ci}$  and  ${\sf RFII}_{\sf Ci}$ 

## (1) Research Fronts Output Index of a country in 10 broad research areas (RFOI<sub>Ci</sub>)

Research Fronts Output Index of a country in 10 broad research areas ( $\operatorname{RFOI}_{Ci}$ ) is the sum of the relative share of the number of papers (core and citing) contributed by a country to 10 broad research areas composed of 137 Research Fronts.  $\operatorname{RFOI}_{Ci}$  is equal to the sum of the two indicators  $\operatorname{SCoP}_{Ci}$  and  $\operatorname{SCiP}_{Ci}$ .

$$RFOI_{Ci} = SCoP_{Ci} + SCiP_{Ci} = \sum_{k=1}^{10} \sum_{j=1}^{n} \frac{CoP_{ij}}{CoP_j} + \sum_{k=1}^{10} \sum_{j=1}^{n} \frac{CiP_{ij}}{CiP_j}$$

The formula for a country's Share of Core Papers in 10 broad research areas ( $SCoP_{Ci}$ ) is as follows:

$$SCoP_{Ci} = \sum_{k=1}^{10} \sum_{j=1}^{n} \frac{CoP_{ij}}{CoP_j}$$

The formula for a country's Share of Citing Papers in 10 broad research areas (SCiP<sub>Ci</sub>) is as follows:

$$\mathrm{SCiP}_{Ci} = \sum_{k=1}^{10} \sum_{j=1}^{n} \frac{CiP_{ij}}{CiP_j}$$

## (2) Research Fronts Influence Index of a country in 10 broad research areas (RFII<sub>Ci</sub>)

The Research Fronts Influence Index of a country in 10 broad research areas (RFII<sub>Ci</sub>) is the sum of the relative share of the citation of papers (core and citing)

contributed by a country to 10 broad research areas composed of 137 Research Fronts.  $RFII_{Ci}$  is equal to the sum of the two indicators  $SCCoP_{Ci}$  and  $SCCiP_{Ci}$ .

$$RFII_{Ci} = SCCoP_{Ci} + SCCiP_{Ci} = \sum_{k=1}^{10} \sum_{j=1}^{n} \frac{CoC_{ij}}{CoC_j} + \sum_{k=1}^{10} \sum_{j=1}^{n} \frac{CiC_{ij}}{CiC_j}$$

The formula for a country's Share of Citations to Core Papers in 10 broad research areas (SCCoP<sub>Ci</sub>) is as follows:

$$SCCoP_{Ci} = \sum_{k=1}^{10} \sum_{j=1}^{n} \frac{CoC_{ij}}{CoC_j}$$

The formula for a country's Share of Citations to Citing Papers in 10 broad research areas (SCCiP<sub>Ci</sub>) is:

$$SCCiP_{Ci} = \sum_{k=1}^{10} \sum_{j=1}^{n} \frac{CiC_{ij}}{CiC_j}$$



## 2.1 The USA remains the most active, while the gap in ${\rm RLI}_{\rm Ci}$ between China and the USA has narrowed

For 10 broad research areas comprising 137 Research Fronts, the USA is the most active, with an  $RLl_{ci}$  score of 204.89, ranking 1<sup>st</sup>. China ranks 2<sup>nd</sup> with a score of 139.68. The UK and Germany score 80.85 and 67.52, respectively, ranking 3<sup>rd</sup> and 4<sup>th</sup>.

The  $RLI_{ci}$  scores for France, Italy, Canada, Australia, the Netherlands, and Japan register between 50 and 30, ranking those nations from 5<sup>th</sup> to 10<sup>th</sup>, with Japan (at 33.15) rounding out the top 10 by this measure.



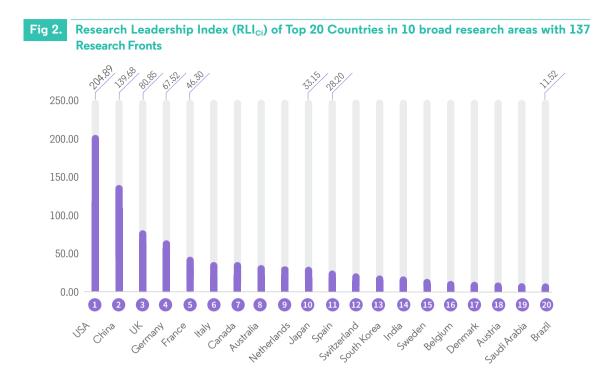


Table 1 shows that the rank order for the three indicators  $RLI_{Ci}$ ,  $RFOI_{Ci}$  and  $RFII_{Ci}$  for the top five countries is the same. For the remaining countries, scores on the three indicators do not differ widely, although precise calculation ranks the nations from 6<sup>th</sup> to 20<sup>th</sup>.

Table 1.The ResearchResearch Fron	Leadership Ind ts	lex (RLI <sub>ci</sub> ) of 1	Top 20 Count	ries in 10 bro	ad research a	reas with 1
	RI	_I <sub>ci</sub>	RF	Ol <sub>ci</sub>	RF	ΊΙ <sub>ci</sub>
	Score	Rank	Score	Score	Rank	Score
USA	204.89	1	107.35	1	97.54	1
China	139.68	2	81.70	2	57.98	2
UK	80.85	3	42.01	3	38.83	3
Germany	67.52	4	35.06	4	32.46	4
France	46.30	5	23.52	5	22.79	5
Italy	39.42	6	21.50	6	17.92	7
Canada	39.25	7	18.98	7	20.27	6
Australia	35.27	8	17.48	9	17.79	8
Netherlands	33.80	9	16.27	10	17.53	9
Japan	33.15	10	17.72	8	15.44	10
Spain	28.20	11	14.81	11	13.39	11
Switzerland	24.81	12	12.33	12	12.48	12

	RL	.I <sub>ci</sub>	RF	Ol <sub>ci</sub>	RF	'll <sub>ci</sub>
	Score	Rank	Score	Score	Rank	Score
South Korea	21.75	13	10.75	14	11.00	13
India	20.74	14	10.90	13	9.84	14
Sweden	17.54	15	8.66	15	8.87	15
Belgium	14.83	16	7.44	16	7.39	17
Denmark	13.91	17	6.04	18	7.86	16
Austria	12.75	18	5.92	19	6.83	18
Saudi Arabia	12.01	19	6.36	17	5.65	20
Brazil	11.52	20	5.76	20	5.76	19

Table 2 compares the Research Leadership Index (RLI<sub>G</sub>) of the top five countries in 2017, 2018, and 2019, and the proportion relative to the USA. The top five countries in the three years are completely the same. The USA scores 281.11, 227.39 and 204.89 respectively in the three years, maintaining 1<sup>st</sup> place. China ranks 2<sup>nd</sup> with 118.84, 118.38, and 139.68 points in three years, rising steadily, and the gap between China and the USA in RLI<sub>G</sub> is

gradually narrowing. The analysis also calculates the ratio of other countries to the USA at 100% per year. The ratio of China to the USA is 42.28%, 52.06%, and 68.18% for the three years. Thus, the proportion of China to the USA is increasing year by year, and the progress is obvious. Meanwhile, the UK, Germany, and France rank 3<sup>rd</sup> to 5<sup>th</sup> in 2017 to 2019, but the proportion changes slightly compared with the USA.

	,,					
Country			RI	.I <sub>Ci</sub>		
Country	2017 Score	2017 %	2018 Score	2018%	2019 Score	2019 %
USA	281.11	100.00%	227.39	100.00%	204.89	100.00%
China	118.84	42.28%	118.38	52.06%	139.68	68.18%
UK	96.9	34.47%	78.62	34.57%	80.85	39.46%
Germany	90.98	32.36%	75.12	33.04%	67.52	32.95%
France	60.08	21.37%	51.2	22.52%	46.30	22.60%

### Table 2.Research Leadership Index (RLI<sub>Ci</sub>) for Top 20 Countries in 10 broad research areas with 137Research Fronts, for each of three years, 2017 to 2019

## 2.2 The USA shows obvious strength in leading seven areas, while China has outstanding performance in three areas, but lags in two

For the 10 broad research areas, the USA's RLI<sub>Ci</sub> scores are 1<sup>st</sup> in seven of the main areas (and by a notably wide margin), except for three areas: "Chemistry and materials science", "Mathematics, computer science and engineering," and "Ecology and environmental science." In all three of the latter, China ranks 1<sup>st</sup>. China ranks 2<sup>nd</sup> in five areas: "Agricultural, plant and animal sciences", "Geosciences," "Biological science," "Physics," and "Economics, psychology and other social sciences," with outstanding performance. China, however, ranks 9<sup>th</sup> and 11<sup>th</sup> in the areas of "Clinical medicine" and "Astronomy and astrophysics," showing that the nation still has ground to gain in those two broad specialties.

Table 3.	The score and rank of RLI <sub>G</sub> and RL	and r	ank of	RLI <sub>ci</sub> a		cik of Top20 Countries	20 Col	untries														
	10 broad research areas	ad areas	Agricultural, plant and animal sciences	ltural, and nal ices	Ecology ar environmer science	Ecology and environmental science	Geosciences	ences	Clinical medicine	cal	Biological science	ical	Chemistry and materials science	stry I ials ce	Physics		Astronomy and astrophysics.		Mathematics, computer science and engineering	atics, uter and sring	Economics, psychology and other social sciences	nics, logy her al
Countries	Score	Rank	Score	Rank	Score	Rank	Score	Rank	Score	Rank	Score	Rank	Score	Rank 9	Score R	Rank 9	Score F	Rank	Score	Rank	Score	Rank
USA	204.89	Ч	13.02	1	11.19	2	22.13	г	41.31		28.28		13.03	5	18.68	г	30.98	-	10.75	5	15.53	Ч
China	139.68	2	9.43	2	14.23	г	10.92	2	7.11	6	12.36	2	26.53	ч	9.43	2	6.91	11	33.55	Ч	9.23	2
UK	80.85	ю	5.53	വ	2.78	7	4.54	വ	21.38	2	9.78	ю	4.02	വ	5.24	4	16.28	ю	4.99	4	6.30	м
Germany	67.52	4	5.65	ю	3.28	4	2.16	ω	14.03	м	4.84	വ	4.44	м	7.96	м М	17.13	2	1.91	10	6.11	4
France	46.30	വ	5.58	4	2.69	ω	5.06	4	10.08	വ	4.19	7	1.07	10	2.74	L 7	11.12	വ	1.78	12	1.98	6
Italy	39.42	9	3.15	7	2.14	11	1.09	14	10.01	9	5.27	4	0.88	14	3.54	9	10.43	9	1.03	18	1.87	11
Canada	39.25	7	2.71	œ	2.06	13	6.15	ю	10.15	4	1.80	16	1.03	12	2.67	00	8.35	6	2.21	6	2.13	00
Australia	35.27	œ	3.53	9	3.56	м	3.07	9	8.50	7	2.08	13	0.96	13	0.88	18	7.80	10	1.64	13	3.25	7
Netherlands	33.80	6	1.49	19	2.42	6	09.0	19	6.60	10	4.10	œ	1.09	6	2.15	12	9.50	7	0.26	30	5.58	വ
Japan	33.15	10	1.73	15	1.65	16	2.98	7	4.21	11	2.29	11	2.33	9	2.47	6	11.25	4	2.92	7	1.32	15
Spain	28.20	11	1.86	14	2.10	12	0.84	17	7.20	ω	2.16	12	0.64	16	2.40	10	8.67	œ	0.54	25	1.80	13
Switzerland	24.81	12	1.63	16	1.76	15	0.85	16	3.58	15	4.33	9	1.07	11	3.62	വ	6.40	12	0.29	29	1.29	17
South Korea	21.75	13	2.16	12	2.29	10	0.40	25	3.30	16	1.55	20	1.29	œ	1.84	13	4.67	14	3.34	9	0.90	20
India	20.74	14	1.97	13	2.79	6	1.47	10	0.37	41	3.82	6	1.32	7	2.15	11	4.26	17	1.35	15	1.25	18
Sweden	17.54	15	2.54	6	1.01	23	1.65	6	4.06	13	1.81	15	0.43	17	0.85	21	3.80	20	0.59	23	0.79	22
Belgium	14.83	16	1.56	17	1.47	17	0.32	29	4.18	12	0.72	25	0.21	22	0.45	26	4.31	16	0.12	41	1.48	14
Denmark	13.91	17	1.08	22	1.42	18	0.79	18	3.91	14	1.28	21	0.15	26	1.48	15	2.68	23	0.45	26	0.67	23
Austria	12.75	18	0.63	30	1.06	22	1.10	13	2.47	18	1.13	23	0.36	20	0.50	25	1.82	26	0.20	33	3.48	9
Saudi Arabia	12.01	19	1.08	21	2.85	5	0.12	47	0.14	49	0.60	27	0.80	15	0.21	38	0.02	62	6.00	3	0.21	32
Brazil	11.52	20	1.39	20	0.62	26	0.17	40	1.76	23	2.30	10	0.08	32	0.68	23	3.53	21	0.13	39	0.87	21

Among the 100 hot Research Fronts and 37 emerging Research Fronts in 10 broad research areas, the USA ranks 1<sup>st</sup> in 80, accounting for 58.39% of the137 Research Fronts. China earns the top spot in 3 fronts, or 24.09%. The UK is tops in seven Research Fronts, while Germany and France can each claim the top ranking in one front (Table 4).

Of the 10 broad research areas, "Mathematics, computer science and engineering," and "Chemistry and materials science" are the two most advanced areas for China, with the country ranking 1<sup>st</sup> in more than 50% of the Research Fronts within each of those two broad groupings. In fact, in the area of "Mathematics, computer science and engineering," China can boast 1<sup>st</sup> place in 62.50% of the constituent Research Fronts. In "Ecology and environmental science," China ranks 1<sup>st</sup> in four Research Fronts, a showing equal to that of the USA. Solid performance by China can also be seen in "Physics" (1<sup>st</sup> in three fronts), "Geoscience," "Biological science," and "Economics, psychology and other social sciences" (each with China 1<sup>st</sup> in two fronts). In two areas – "Agricultural, plant and animal sciences" and "Clinical medicine" – China registers 1<sup>st</sup> in a Research Front. By contrast, China does not rank 1<sup>st</sup> in any of the fronts within "Astronomy and astrophysics."

Compared with China, the USA accounts for 1<sup>st</sup>-place rankings in only 12.50% of the Research Fronts in "Mathematics, computer science and engineering," and in only 26.67% of those in "Chemistry and materials science." These two areas, meanwhile, are highly active in terms of Chinese representation. Due to China's progress in "Ecology and environmental sciences," the USA ranks 1<sup>st</sup> in four Research Fronts in this area, which is relatively less than its showing in other areas.

In addition to the above three fields, the USA claims 1<sup>st</sup>-place performance in more than 60% of the Research Fronts in each of these seven areas: "Agricultural, plant and animal sciences," "Geoscience," "Clinical medicine," "Biological science," "Physics," "Astronomy and astrophysics," and "Economics, psychology and other social sciences" – the best showing among all countries.

The UK, Germany, and France are all in the top five positions in the 10 areas, showing significant strength.

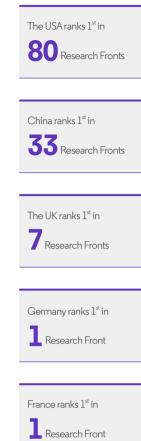


Table 4.	The numbers and ratios of the Research Fronts in which the respective Top 5 countries rank
	first, out of 137 fronts in 10 broad research areas (based on $RLI_{\mathrm{ci}}$ )

A 1000	Numbers		Number	s of r	anking 1 <sup>st</sup> F	₹Fs			Ratio	s	
Areas	of RFs	USA	China	UK	Germany	France	USA	China	UK	Germany	France
10 broad research areas total	137	80	33	7	1	1	58.39%	24.09%	5.11%	0.73%	0.73%
Agricultural, plant and animal sciences	11	7	1	1	0	0	63.64%	9.09%	9.09%	0.00%	0.00%
Ecology and environmental sciences	11	4	4	0	0	0	36.36%	36.36%	0.00%	0.00%	0.00%

A	Numbers		Number	s of r	anking 1 <sup>st</sup> F	RFs			Ratio	s	
Areas	of RFs	USA	China	UK	Germany	France	USA	China	UK	Germany	France
Geosciences	11	8	2	0	0	0	72.73%	18.18%	0.00%	0.00%	0.00%
Clinical medicine	21	16	1	3	0	0	76.19%	4.76%	14.29%	0.00%	0.00%
Biological sciences	16	12	2	1	0	0	75.00%	12.50%	6.25%	0.00%	0.00%
Chemistry and materials science	15	4	8	1	1	0	26.67%	53.33%	6.67%	6.67%	0.00%
Physics	12	8	3	0	0	0	66.67%	25.00%	0.00%	0.00%	0.00%
Astronomy and astrophysics	13	11	0	1	0	1	84.62%	0.00%	7.69%	0.00%	7.69%
Mathematics, computer science and engineering	16	2	10	0	0	0	12.50%	62.50%	0.00%	0.00%	0.00%
Economics, psychology and other social sciences	12	8	2	0	0	0	66.67%	16.67%	0.00%	0.00%	0.00%

Among nations ranking among the top three performers in these Research Fronts (Table 5), the USA earns that distinction in 115 fronts, or 83.94%, China in 63 Research Fronts (45.99%), the UK in 46, and Germany in 43. The latter two countries – although their percentages of top-three placements are lower than those of the USA and China – can each boast the achievement in nearly one-third of the total number of Research Fronts.

	Table 5.	The numbers and ratios of nations ranking among the top three performers in Research Fronts,
ľ		among the Top 5 countries in 10 broad research areas with 137 Research Fronts (based on $RLI_{Ci}$ )

Areas	Numbers of	N	umbers	of ran Rf	iking Top tł <sup>-</sup> s	nree			Ratios		
Areas	RFs	USA	China	UK	Germany	France	USA	China	UK	Germany	France
10 broad research areas total	137	115	63	46	43	18	83.94%	45.99%	33.58%	31.39%	13.14%
Agricultural, plant and animal sciences	11	8	6	3	3	4	72.73%	54.55%	27.27%	27.27%	36.36%
Ecology and environmental sciences	11	7	8	2	2	2	63.64%	72.73%	18.18%	18.18%	18.18%
Geosciences	11	10	5	2	0	3	90.91%	45.45%	18.18%	0.00%	27.27%
Clinical medicine	21	20	2	12	7	3	95.24%	9.52%	57.14%	33.33%	14.29%
Biological sciences	16	15	6	8	5	1	93.75%	37.50%	50.00%	31.25%	6.25%
Chemistry and materials science	15	11	14	3	6	1	73.33%	93.33%	20.00%	40.00%	6.67%
Physics	12	11	4	2	6	0	91.67%	33.33%	16.67%	50.00%	0.00%
Astronomy and astrophysics	13	13	0	6	7	4	100.00%	0.00%	46.15%	53.85%	30.77%

Areas	Numbers of		umbers	of ran Ri	iking Top tł <sup>-</sup> s	nree			Ratios		
Aleas	RFs	USA	China	UK	Germany	France	USA	China	UK	Germany	France
Mathematics, computer science and engineering	16	10	14	3	2	0	62.50%	87.50%	18.75%	12.50%	0.00%
Economics, psychology and other social sciences	12	10	4	5	5	0	83.33%	33.33%	41.67%	41.67%	0.00%

In the area of "Astronomy and astrophysics," the USA ranks among the top three performers in 100% of the pertinent Research Fronts. The nation also makes the top three in more than 90% of the respective Research Fronts associated with four areas: "Physics," "Clinical medicine," "Biological science," and "Geosciences." This notably superior performance also carried over into "Agricultural, plant and animal sciences" and "Economics, psychology and other social sciences" – both areas in which the USA ranked among the top three in 70% to 80% of the Research Fronts. Only in the areas of "Chemistry and materials science," "Mathematics, computer science and engineering," and "Ecology and environmental science" did the USA's proportion of top-three placements score lower than that of China.

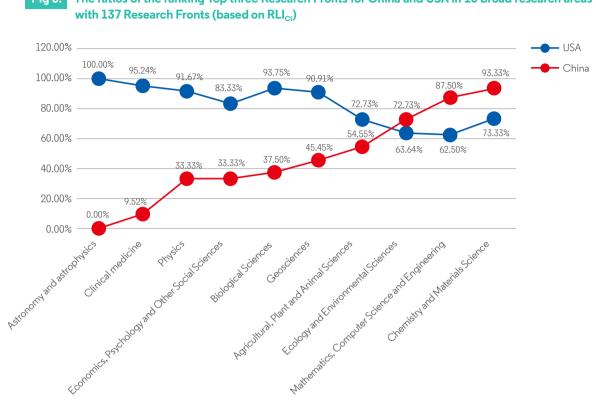
In fact, comparatively speaking, China is the most active in those three areas, accounting for 93.33% of top-three placements in Research Fronts pertaining to "Chemistry and materials science," 87.50% in "Mathematics, computer science and engineering," and 72.73% in "Ecology and environmental science."

In "Agricultural, plant and animal sciences", China posts an impressive performance, earning top-three spots in 54.55% of the Research Fronts.

In "Geosciences," China registers among the top three in 45.45% of the Research Fronts. In these two areas China is in  $2^{nd}$  position, behind the USA.

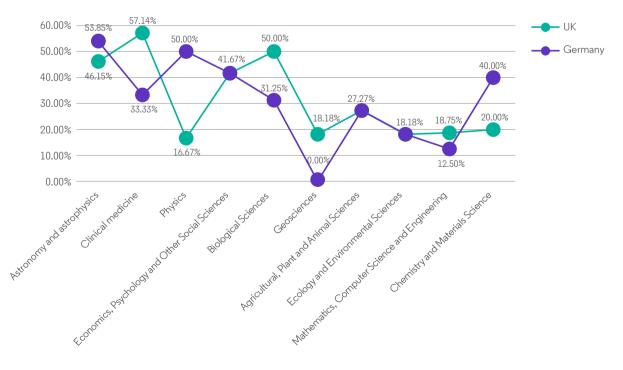
The UK ranks in the top three in 57.14% and 50.00% of Research Fronts in "Clinical medicine" and "Biological science". Germany ranks in the top three in 53.85% and 50.00% of Research Fronts in "Astronomy and astrophysics" and "Physics". While not quite as prominent as the USA, the performance of the two nations is notable in these areas.

China, meanwhile, places among the top three in 9.52% of the Research Fronts in "Clinical medicine," although not attaining that distinction in any of the fronts in "Astronomy and astrophysics."









## 3 Analysis of the Research Leadership Index (RLI<sub>Cik</sub>) of countries in different areas

C This section highlights the scores and rankings obtained via the RLI<sub>Cik</sub> measurement, exploring the Research Front activity and influence of various countries in specific areas, and analyzing the respective sources of national vitality in scientific and technical innovation.

**9**9

## **3.1 AGRICULTURAL, PLANT AND ANIMAL SCIENCES: The USA is absolutely in the leading position; China is 2<sup>nd</sup>; Germany, France and UK are the 3<sup>rd</sup>, 4<sup>th</sup> and 5<sup>th</sup>**

In the area of "Agricultural, plant and animal sciences," the USA is the most active according to its  $\text{RLI}_{Cik}$  score of 13.02, ranking 1<sup>st</sup>. China scores 9.43, ranking 2<sup>nd</sup>. Germany scores 5.65 for 3<sup>rd</sup> place, followed by France and the UK. As can be seen from Table 6, the ranking according to RFOI<sub>Cik</sub> and RFII<sub>Cik</sub> is the same as  $\text{RLI}_{Cik}$  for the USA and China. By contrast, the rankings for UK, Germany, and France vary slightly according to the different indicators.



 Table 6.
 The score and rank of Top 5 countries based on RLI<sub>Cik</sub>, RFOI<sub>Cik</sub> and RFII<sub>Cik</sub> in the area of "Agricultural, plant and animal sciences"

Indicators			Score					Rank		
Indicators	USA	China	Germany	France	UK	USA	China	Germany	France	UK
RLI <sub>Cik</sub>	13.02	9.43	5.65	5.58	5.53	1	2	3	4	5
RFOI <sub>Cik</sub>	6.33	5.23	2.87	2.72	2.98	1	2	4	5	3
RFII <sub>Cik</sub>	6.70	4.20	2.78	2.87	2.55	1	2	4	3	5

### 3.2 ECOLOGY AND ENVIRONMENTAL SCIENCES: China is in the leading position; The USA is 2<sup>nd</sup>; with Australia, Germany, and Saudi Arabia ranking 3<sup>rd</sup>, 4<sup>th</sup> and 5<sup>th</sup>

In the area of "Ecology and environmental sciences" (Table 7), China scores 14.23 in RLI<sub>Cik</sub>, ranking 1<sup>st</sup>, demonstrating the most activity. The USA scores 11.19, ranking 2<sup>nd</sup>. In third place is Australia, with its score of 3.56 significantly lower than the top two. Germany and Saudi Arabia rank 4<sup>th</sup> and 5<sup>th</sup>. The rank order of the top two countries, China and the USA, remains the same for all three indicators.  $RLI_{Cik}$ ,  $RFOI_{Cik}$  and  $RFII_{Cik}$ .



 Table 7.
 The score and rank of Top 5 countries based on RLI<sub>Cik</sub>, RFOI<sub>Cik</sub> and RFII<sub>Cik</sub> in the area of "Ecology and environmental sciences"

In directions			Score					Ranl		
Indicators	China	USA	Australia	Germany	Saudi Arabia	China	USA	Australia	Germany	Saudi Arabia
RLI <sub>Cik</sub>	14.23	11.19	3.56	3.28	2.85	1	2	3	4	5
RFOI <sub>Cik</sub>	8.39	5.60	1.92	1.64	1.30	1	2	3	4	8
RFII <sub>Cik</sub>	5.84	5.59	1.64	1.64	1.55	1	2	4	3	5

## 3.3 Geosciences: The USA is the most active, China is 2<sup>nd</sup> still faces a large in with gap with the USA; Canada, France and UK are 3<sup>rd</sup>, 4<sup>th</sup>, and 5<sup>th</sup>

In the area of "Geosciences", the USA scores 22.13 in  $RLI_{Cik}$ , ranking 1<sup>st</sup>, far ahead of other countries. China scores 10.92, ranking 2<sup>nd</sup>, but still faces a large in with gap with the USA. Canada, France, and the UK score 6.15, 5.06 and 4.54, respectively, ranking 3<sup>rd</sup>, 4<sup>th</sup>, and 5<sup>th</sup>. As can be seen in Table 8, these top five countries rank in the same order according to all three indicators.



Table 8.	The score and rank of Top 5 countries based on RLI <sub>Cik</sub> , RFOI <sub>Cik</sub> and RFII <sub>Cik</sub> in the area of
	"Geosciences"

la alta ata ma			Score			Rank						
Indicators	USA	China	Canada	France	UK	USA	China	Canada	France	UK		
RLI <sub>Cik</sub>	22.13	10.92	6.15	5.06	4.54	1	2	3	4	5		
RFOI <sub>Cik</sub>	11.76	6.33	2.83	2.61	2.53	1	2	3	4	5		
RFII <sub>Cik</sub>	10.37	4.58	3.32	2.45	2.01	1	2	3	4	5		

### 3.4 CLINICAL MEDICINE: The USA is far ahead; UK, Germany, Canada and France rank 2<sup>nd</sup> to 5<sup>th</sup>; and China entered the top 10 in 9<sup>th</sup> place

In the area of "Clinical medicine", the USA scores 41.31 in RLI<sub>Cik</sub>, far ahead of other countries. The UK and Germany register at 21.38 and 14.03, respectively. China scores 7.11, ranking 9<sup>th</sup>, and displaying an obvious gap with other powers in this area.

The respective rankings of the USA, UK, Germany, and France in  $RLI_{Cik}$  are identical to that in  $RFOI_{Cik}$  and  $RFII_{Cik}$ . China's placements vary slightly according to the three indicators: the country ranks the 9<sup>th</sup> in  $RL_{ICi}k$ , 7<sup>th</sup> in  $RFOI_{Cik}$ , and 10<sup>th</sup> in  $RFII_{Cik}$ , demonstrating that China currently lacks important, high-impact achievements in this area.



Table 9.	The score and rank of Top 5 countries and China based on $RLI_{Cik}$ , $RFOI_{Cik}$ and $RFII_{Cik}$ in the
	area of "Clinical medicine"

Indicators			Sc	ore		Rank						
Indicators	USA	UK	Germany	Canada	France	China	USA	UK	Germany	Canada	France	China
RLI <sub>Cik</sub>	41.31	21.38	14.03	10.15	10.08	7.11	1	2	3	4	5	9
RFOI <sub>Cik</sub>	21.33	10.43	6.79	4.72	4.96	4.01	1	2	3	6	5	7
RFII <sub>Cik</sub>	19.98	10.95	7.24	5.44	5.12	3.10	1	2	3	4	5	10

### 3.5 BIOLOGICAL SCIENCES: The USA leads substantially, China has jumped to 2<sup>nd</sup>, and the UK, Italy, and Germany rank 3<sup>rd</sup> to 5<sup>th</sup>

In the area of "Biological sciences", the USA scores 28.28 in RLI<sub>Cik</sub>, more than twice the mark posted by China, placing it 1<sup>st</sup>. China scores 12.36, ranking 2<sup>nd</sup> and showing significant progress. The UK, Italy, and Germany score 9.78, 5.27 and 4.84, respectively. The USA, China, the UK, and Italy maintain the same rank order according to the three indicators, while Germany's score in RFII<sub>Cik</sub> places it 7<sup>th</sup>.



Table 10.

The score and rank of Top 5 countries based on RLI<sub>Cik</sub>, RFOI<sub>Cik</sub> and RFII<sub>Cik</sub> in the area of "Biological sciences"

la Restance			Score				Rank						
Indicators	USA	China	UK	Italy	Germany	USA	China	UK	Italy	Germany			
RLI <sub>Cik</sub>	28.28	12.36	9.78	5.27	4.84	1	2	3	4	5			
RFOI <sub>Cik</sub>	14.62	6.96	5.20	2.88	2.71	1	2	3	4	5			
RFII <sub>Cik</sub>	13.65	5.40	4.58	2.40	2.13	1	2	3	4	7			

### 3.6 CHEMISTRY AND MATERIALS SCIENCE: China's RLI<sub>Cik</sub> is twice as that of the USA, China and the USA have respective advantages in specific Research Fronts; Germany, Singapore and UK rank 3<sup>rd</sup> to 5<sup>th</sup>

In the area of "Chemistry and materials science," China's RLI<sub>Cik</sub> score is 26.53, twice that of the USA, earning China 1<sup>st</sup> place (Table 11). The USA scores 13.03, ranking 2<sup>nd</sup>. These scores indicate a significant activity gap between the USA and China. Germany, Singapore and the UK post marks of 4.44, 4.21, and 4.02 respectively, ranking 3<sup>rd</sup> to 5<sup>th</sup>. The rankings based on the indicators RLI<sub>Cik</sub>, RFOI<sub>Cik</sub> and RFII<sub>Cik</sub> for China and USA are exactly the same, while the placements for Germany, Singapore and the UK vary slightly across the indicators.



Table 11.	The score and rank of Top 5 countries based on $RLI_{Cik}$ , $RFOI_{Cik}$ and $RFII_{Cik}$ in the area of
	"Chemistry and materials science"

Indicators			Score		Rank						
Incicators	China	USA	Germany	Singapore	UK	China	USA	Germany	Singapore	UK	
RL <sub>ICik</sub>	26.53	13.03	4.44	4.21	4.02	1	2	3	4	5	
RFOI <sub>Cik</sub>	15.45	6.86	2.42	1.83	2.15	1	2	3	5	4	
RFII <sub>Cik</sub>	11.08	6.17	2.02	2.37	1.87	1	2	4	3	5	

### 3.7 PHYSICS: The USA leads in all areas; China overtakes Germany for 2<sup>nd</sup> place; the UK and Switzerland are 4<sup>th</sup> and 5<sup>th</sup>

In the area of "Physics," the USA posts the highest degree of activity with an  $RLI_{Cik}$  of 18.68, twice that of China. China and Germany score 9.43 and 7.96, respectively. The UK and Switzerland rank 4<sup>th</sup> and 5<sup>th</sup> with respective scores of 5.24 and 3.62. The USA, China, Germany, and the UK rank the same across all three indicators, while Switzerland scores outside the top five on one measure.



Table 12.	The score and rank of Top 5 countries based on RLI <sub>Cik</sub> , RFOI <sub>Cik</sub> and RFII <sub>Cik</sub> in the area of
	"Physics"

Indicators			Score			Rank						
indicators	USA	China	Germany	UK	Switzerland	USA	China	Germany	UK	Switzerland		
RLI <sub>Cik</sub>	18.68	9.43	7.96	5.24	3.62	1	2	3	4	5		
RFOI <sub>Cik</sub>	9.77	5.95	4.67	2.54	1.74	1	2	3	4	6		
RFII <sub>Cik</sub>	8.90	3.48	3.29	2.70	1.88	1	2	3	4	5		

### 3.8 ASTRONOMY AND ASTROPHYSICS: The USA has a dominant position; Germany, the UK, Japan, and France rank 2<sup>nd</sup> to 5<sup>th</sup>; and China ranks 11<sup>th</sup>

In the area of "Astronomy and astrophysics", the USA ranks 1<sup>st</sup>, with an RLI<sub>Cik</sub> score of 30.98. Germany ranks 2<sup>nd</sup> with a mark of 17.13, with the UK 3<sup>rd</sup> at 16.28, followed by Japan (11.25) and France (11.12). China places 11<sup>th</sup> with a score of 6.91. Although China's performance is not outstanding, the country has made significant progress in the field, compared with last year's 19<sup>th</sup> position.





Indicators			Sco	ore		Rank							
indicators	USA	Germany	UK	Japan	France	China	USA	Germany	UK	Japan	France	China	
RLI <sub>Cik</sub>	30.98	17.13	16.28	11.25	11.12	6.91	1	2	3	4	5	11	
RFOI <sub>Cik</sub>	16.87	8.71	8.54	5.65	5.49	3.81	1	2	3	4	5	10	
RFII <sub>Cik</sub>	14.11	8.42	7.74	5.59	5.63	3.10	1	2	3	5	4	12	

### 3.9 MATHEMATICS, COMPUTER SCIENCE AND ENGINEERING: China is the most active, the USA is 2<sup>nd</sup>, while Saudi Arabia, the UK, and Turkey are 3<sup>rd</sup> to 5<sup>th</sup>

In the area of "Mathematics, computer science and engineering," China achieves the most active performance and ranks  $1^{st}$ , with a score of 33.55, about three times that of USA. Meanwhile, the USA posts a score of 10.75, ranking  $2^{nd}$ . Saudi Arabia, the UK, and Turkey score 6.00, 4.99 and 3.79 respectively, ranking  $3^{rd}$  to  $5^{th}$ . The ranking of the top 5 countries according to the three indicators is completely consistent.



Table 14.	The score and rank of Top 5 countries based on RLI <sub>Cik</sub> , RFOI <sub>Cik</sub> and RFII <sub>Cik</sub> in the area of
	"Mathematics, computer science and engineering"

Indicators			Score		Rank						
Indicators	China	USA	Saudi Arabia	UK	Turkey	China	USA	Saudi Arabia	UK	Turkey	
RLI <sub>Cik</sub>	33.55	10.75	6.00	4.99	3.79	1	2	3	4	5	
RFOI <sub>Cik</sub>	19.94	5.92	3.13	2.73	2.08	1	2	3	4	5	
RFII <sub>Cik</sub>	13.62	4.83	2.87	2.26	1.71	1	2	3	4	5	

### 3.10 ECONOMICS, PSYCHOLOGY AND OTHER SOCIAL SCIENCES: The USA has obvious advantages, while China is in the 2<sup>nd</sup> place, rising steadily; the UK, Germany and the Netherlands rank 3<sup>rd</sup> to 5<sup>th</sup>

In this area of "Economics, psychology and other social sciences," the USA demonstrates the highest level of activity, earning 1<sup>st</sup> place with an RLI<sub>Cik</sub> score of 15.53. China posts a mark of 9.23, ranking 2<sup>nd</sup>. The UK, Germany and the Netherlands score 6.30, 6.11, and 5.58, ranking 3<sup>rd</sup> to 5<sup>th</sup>, respectively. The USA, China, and Germany rank 1<sup>st</sup>, 2<sup>nd</sup> and 4<sup>th</sup> according to all three indicators, while the UK and the Netherlands swap 3<sup>rd</sup> and 5<sup>th</sup> positions between the RFOI<sub>Cik</sub> and beRFII<sub>Cik</sub> indicators.



Table 15.	The score and rank of Top 5 countries based on RLI <sub>Cik</sub> , RFOI <sub>Cik</sub> and RFII <sub>Cik</sub> in the area of							
"Economics, psychology and other social sciences"								

In dian tana	Score				Rank					
Indicators	USA	China	UK	Germany	Netherlands	USA	China	UK	Germany	Netherlands
RLI <sub>Cik</sub>	15.53	9.23	6.30	6.11	5.58	1	2	3	4	5
RFOI <sub>Cik</sub>	8.29	5.64	3.44	2.94	2.38	1	2	3	4	5
RFII <sub>Cik</sub>	7.24	3.58	2.86	3.17	3.20	1	2	5	4	3

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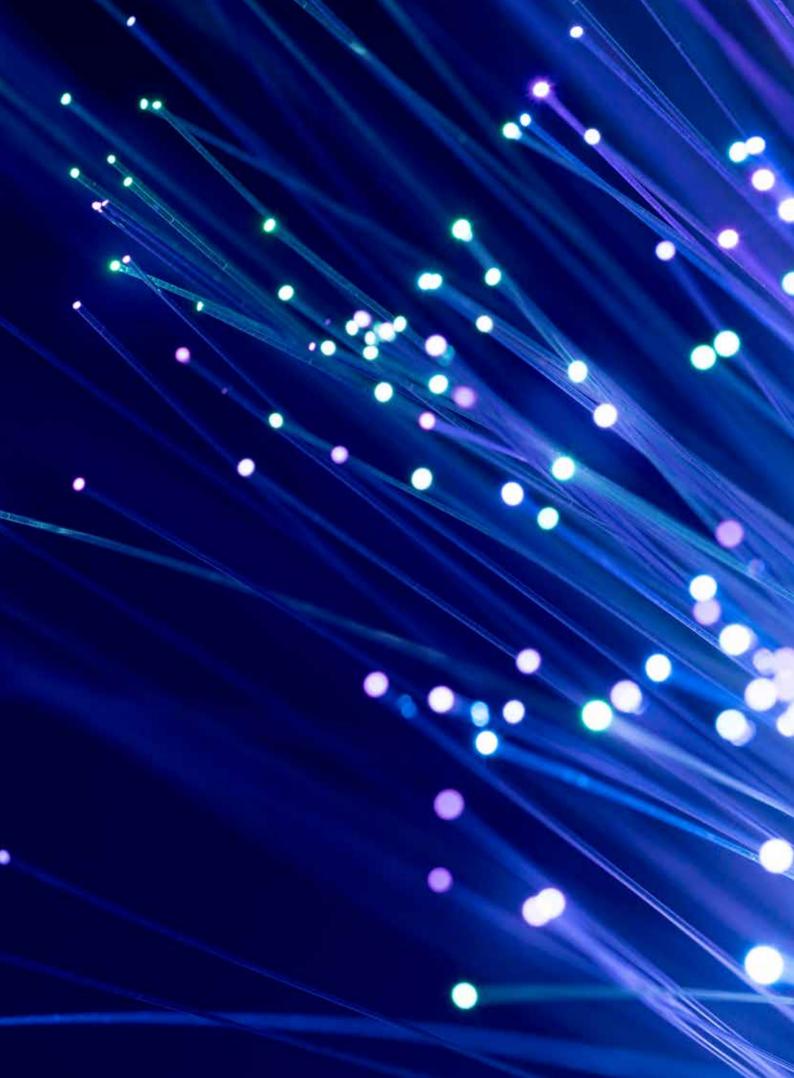
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